

**RECOMMENDED FISHERIES SERVICES  
FOR THE PERIOD 1 JULY 2008 TO 30 JUNE 2009**



Ministry of

**Fisheries**

Te Tautiaki i nga tini a Tangaroa

*The value New Zealanders obtain through the sustainable use  
of fishery resources and protection of the aquatic environment  
is maximised*

March 2008

## Introduction

The directions for managing our fisheries continue as outlined in recent Statements of Intent.

The goal of the Ministry is to:

*Maximise the value New Zealanders obtain through the sustainable use of fisheries resources and protection of the aquatic environment.*

To achieve this goal, the Ministry is working toward the following outcomes:

- the health of the aquatic environment is protected;
- people are able to realise the best value from the sustainable and efficient use of fisheries; and
- credible fisheries management.

These outcomes help to deliver on the Government's priorities of economic transformation and enhancing national identity.

The roles and activities of the Ministry that contribute to the achievement of the fisheries outcomes over the medium term are set out in the annual Statement of Intent. This document sets out the outputs and services to be delivered over 2008/09.

Key priorities proposed for 2008/09 are set out below.

### Existing services

- Develop and enable a long-term management strategy for fisheries
- Establish a framework and indicators to monitor performance of fisheries
- Progress the development of fisheries standards
- Progress the collaborative development of deepwater, middle depths, and inshore fisheries plans
- Contribute to economic transformation by facilitating and supporting the development of aquaculture and the certification of New Zealand seafood
- Implement the Ministry's Treaty Strategy – in particular improving input and participation, and contributing to the Crown's obligations to Maori in respect of historical settlements and foreshore and seabed agreements
- Continue to deliver on the Crown's obligations under the Maori Commercial Aquaculture Claims Settlement Act 2004

### New initiatives (determined as part of Budget 2008 process)

- Increase observer coverage to assist in the provision of research data, the monitoring of compliance with fisheries standards and to meet SPRFMO obligations

Table 1 sets out the priorities in more detail, including the expected achievements. These achievements are reflected in the performance measures for the departmental outputs in the remainder of this document.

**Table 1. Proposed activities and intended achievements for priority work areas 2008/09**

| <b>Priority work area activities</b>   | <b>Intended achievements</b>  |
|--|---|
| <b><i>Develop and enable long-term management strategy for fisheries</i></b>   |   |
| <b><i>Develop fisheries vision and strategy</i></b> <ul style="list-style-type: none"> <li>○ Revise Strategy to achieve Vision</li> <li>○ Revise fisheries outcomes (2008/09) to achieve Fisheries Strategy</li> </ul> | <ul style="list-style-type: none"> <li>○ Proposed vision and strategy released for consultation by December 2008</li> <li>○ Final strategy reflected in 2009/10 SOI</li> </ul>  |
| <b><i>Review of the Fisheries Act</i></b> <ul style="list-style-type: none"> <li>○ Reform statutory framework to support Fisheries Strategy</li> </ul>   | <ul style="list-style-type: none"> <li>○ Review completed by 31 March 2009</li> <li>○ Proposed reforms provided to Minister by 30 June 2009</li> </ul>  |
| <b><i>Monitoring and reporting</i></b>   |   |
| <ul style="list-style-type: none"> <li>○ Establish monitoring framework, including indicators</li> </ul>   | <ul style="list-style-type: none"> <li>○ Framework established by 30 June 2009</li> <li>○ Agreements or processes in place to begin collection of indicator data in July 2009</li> </ul>  |
| <b><i>Progress the development of fisheries standards</i></b>  |   |
| <ul style="list-style-type: none"> <li>○ Develop standards for harvest strategy, benthic impacts, seabirds, Hector's/Mau'i dolphins, and sealions</li> </ul>   | <ul style="list-style-type: none"> <li>○ Key standards approved</li> <li>○ All fisheries plans reviewed for consistency with approved standards</li> </ul>  |
| <b><i>Progress collaborative development of fisheries plans</i></b>  |   |
| <ul style="list-style-type: none"> <li>○ Develop fisheries plans</li> </ul>  | <ul style="list-style-type: none"> <li>○ Middle-depth and deepwater fisheries plans, and highly migratory species plan progressed.</li> <li>○ 5 inshore fisheries have agreed objectives and management strategies</li> <li>○ Initiate development of a further five inshore fisheries plans</li> </ul> |

| <b><i>Contribute to economic transformation through the seafood sector</i></b>  |   |
|---|---|
| <b><i>Sustainable aquaculture development</i></b>   |   |
| <ul style="list-style-type: none"> <li>○ Lead government response to Aquaculture strategy</li> <li>○ Work with stakeholders to identify and progress priority opportunities for aquaculture development</li> <li>○ Foster public confidence in aquaculture</li> <li>○ Facilitate development of the industry strategic research plan</li> <li>○ Complete outstanding permit applications</li> <li>○ Reform statutory framework for aquaculture</li> </ul> | <ul style="list-style-type: none"> <li>○ Maintain governance structures to progress response</li> <li>○ Priorities identified and initiatives progressed in accordance with priorities</li> <li>○ Implement Communications Plan</li> <li>○ Research plan developed</li> <li>○ All residual applications under Fisheries Act 1983 and transitional provisions completed (subject to applicants providing required information)</li> <li>○ Reforms progress, in accordance with Cabinet directions</li> </ul> |
| <b><i>Environmental certification</i></b>   |   |
| <ul style="list-style-type: none"> <li>○ Support certification of wild fisheries and aquaculture</li> <li>○ Develop New Zealand standards for sustainable aquaculture</li> </ul>  | <ul style="list-style-type: none"> <li>○ Independent assessment of NZ fisheries management regime completed</li> <li>○ Information to support certification proposals provided</li> <li>○ Contestable fund established and operating</li> <li>○ Advice on standards provided</li> </ul>   |
| <b><i>Aquaculture Settlement</i></b>  |   |
| <ul style="list-style-type: none"> <li>○ Minister's plan for the future delivery of the Crown's obligations</li> <li>○ Crown's obligations are honoured</li> </ul>  | <ul style="list-style-type: none"> <li>○ Plan completed and implemented</li> <li>○ Valuation methodology completed</li> <li>○ Settlement register current and accurate</li> </ul>   |
| <b><i>Increase observer coverage</i></b>  |   |
| <ul style="list-style-type: none"> <li>○ Increase observer coverage</li> </ul>  | <ul style="list-style-type: none"> <li>○ Observer coverage increased by 2,000 days in each of the next three years</li> <li>○ Observer coverage of 100% of bottom trawl fisheries in the South Pacific Regional Fisheries Management Organisation (SPRFMO) area</li> </ul>  |

## **FISHERIES SERVICES TO BE PROVIDED IN 2008/09**

This section contains the specific outputs and services to be delivered in 2008/09 to support the achievement of the contributing outcomes listed in the Ministry's Statement of Intent for 2008/2013.

### **Consultation on the provision of fisheries services**

These services have been determined by the Minister of Fisheries following consultation by the Ministry with iwi and stakeholders earlier in 2008 on the services to be delivered. The consultation documents are shown on the Ministry's web site, together with the advice provided to the Minister to enable him to determine the approved services to be delivered in 2008/09.

### **Departmental output expenses**

Table 2 overleaf sets out the departmental output costs, and shows:

- the full cost of the outputs
- the amounts to be recovered from the commercial fishing sector through cost recovery levies and transaction charges
- the net Crown cost for 2008/09
- a comparison with the full cost of each output for 2007/08.

### **Capacity indicators**

The specific services provided in 2008/09 will be supplied on the basis agreed between the Minister of Fisheries (the Minister) and the Chief Executive of the Ministry. Each Departmental Output Expense shows the capacity allocated to that area.

**Table 2: Departmental Output Expenses – Allocation of costs for 2008/09**

| <b>\$000</b>  | <b>2007-08</b> | <b>2008/09</b>   |                  |                           | <b>Net Crown Cost</b>      |
|---|----------------|------------------|------------------|---------------------------|----------------------------|
|   |                | <b>Full Cost</b> | <b>Full Cost</b> | <b>Industry Recovered</b> |                            |
|   |                |                  |                  | <b>Levies</b>             | <b>Transaction Charges</b> |
| <b>Fisheries policy advice</b>                                  |                |                  |                  |                           |                            |
| Policy advice   | 6,902          | 6,538            | 0                | 0                         | 6,538                      |
| Ministerial services provided                                   | 1,064          | 861              | 0                | 0                         | 861                        |
| <b>Sub total</b>  | <b>7,966</b>   | <b>7,399</b>     | <b>0</b>         | <b>0</b>                  | <b>7,399</b>               |
| <b>Fisheries information</b>                                    |                |                  |                  |                           |                            |
| Research information  | 29,926         | 31,011           | 19,099           | 1,898                     | 10,014                     |
| <b>Sub total</b>  | <b>29,926</b>  | <b>31,011</b>    | <b>19,099</b>    | <b>1,898</b>              | <b>10,014</b>              |
| <b>Fisheries operations</b>                                     |                |                  |                  |                           |                            |
| Sustainability and management controls                          | 13,464         | 15,376           | 0                | 0                         | 15,376                     |
| Deed of Settlement implemented                                  | 5,859          | 5,494            | 0                | 0                         | 5,494                      |
| Registry services managed                                       | 5,075          | 5,078            | 4,898            | 180                       | 0                          |
| <b>Sub total</b>  | <b>24,398</b>  | <b>25,948</b>    | <b>4,898</b>     | <b>180</b>                | <b>20,870</b>              |
| <b>Fisheries compliance</b>                                     |                |                  |                  |                           |                            |
| Commercial fisheries compliance <sup>1</sup>                    | 8,843          | 10,835           | 9,965            | 0                         | 870                        |
| Non-commercial fisheries compliance                             | 16,237         | 14,697           | 0                | 0                         | 14,697                     |
| Prosecutions managed  | 3,816          | 4,589            | 0                | 0                         | 4,589                      |
| <b>Sub total</b>  | <b>29,635</b>  | <b>30,121</b>    | <b>9,965</b>     | <b>0</b>                  | <b>20,156</b>              |
| <b>Aquaculture settlement</b>                                   |                |                  |                  |                           |                            |
| Aquaculture settlement implemented                              | 1,812          | 1,543            | 0                | 0                         | 1,543                      |
| <b>Sub total</b>  | <b>1,812</b>   | <b>1,543</b>     | <b>0</b>         | <b>0</b>                  | <b>1,543</b>               |
| <b>South Pacific Regional Fisheries Management Organisation</b> | -              |                  |                  |                           |                            |
| South Pacific Regional Fisheries Management Organisation        | -              | 406              | 0                | 0                         | 406                        |
| <b>Sub total</b>  | <b>-</b>       | <b>406</b>       | <b>0</b>         | <b>0</b>                  | <b>406</b>                 |
| <b>Total departmental output expenses</b>                       | <b>93,737</b>  | <b>96,428</b>    | <b>33,962</b>    | <b>2,078</b>              | <b>60,388</b>              |
| 2007/08 services  |                | 93,737           | 31,556           | 1,699                     | 60,482                     |

<sup>1</sup> Note: Commercial fisheries compliance has two components:

|  |       |
|--|-------|
| Commercial compliance                            | 9,965 |
| New Zealand's international fisheries compliance | 870   |

**Cost recovery is applied only to the commercial compliance component**

## **DEPARTMENTAL OUTPUT EXPENSE – FISHERIES POLICY ADVICE**

### **Description**

This includes the services that:

- (a) ensure development and review of policy and legal frameworks to enable best value from the sustainable and efficient use of fisheries resources
- (b) promote New Zealand’s fisheries interests in an international context
- (c) assist the Minister of Fisheries and Select Committees as required

### **Scope of appropriation**

Provision of advice, development of policies and ministerial servicing relating to the legal and conceptual framework for the sustainable and efficient utilisation of fisheries and to guide the implementation of policy.

### **POLICY ADVICE**

#### *New Zealand fisheries*

The services provided by the Ministry’s Fisheries Policy group over the next five years cover a range of policy development activities in the following broad areas:

#### Adding value to fisheries sectors

This activity covers reforms to enable stakeholders to take responsible initiatives to add value and tools to ensure efficient delivery of services. This area includes contributing to the Government’s work programme on sustainability and economic transformation and reforms to improve the management and allocation of shared fisheries.

#### Environmental performance

This relates to reforms to improve the environmental performance of the fisheries sector, such as managing the impact of fishing on the environment and at-risk species, and the interactions of other activities with fisheries resources and habitats.

#### Fisheries strategy, outcomes, and monitoring

Under this activity, the Ministry will be developing a vision and strategy for the future, revising fisheries outcomes, and developing monitoring approaches.

#### Treaty Strategy, Treaty settlements, and foreshore and seabed

Supporting and reviewing implementation of the Ministry Treaty Strategy, and contributing to the settlement of the Crown’s obligations to Maori (in relation to the fisheries and aquaculture settlements, settlement of historical Treaty claims of individual iwi and hapu, and negotiations over the foreshore and seabed).

## Capacity

In 2008/09, an estimated 24,500 hours of Ministry resource will be used to produce the services listed above.

## Performance indicators

| Issue   | Expected achievement        |
|---|-----------------------------|
| Work programme is agreed between the Minister of Fisheries and the Chief Executive of the Ministry and amended during the year as required  | As agreed with the Minister |
| Minister's expression of satisfaction with the quality of the reports and achievement of deadlines – a six-monthly satisfaction rating of at least  | 90%                         |
| Develop a long term fisheries management strategy: <ul style="list-style-type: none"><li>Proposed strategy released for consultation</li><li>Final strategy reflected in the Ministry SOI</li></ul> | December 2008<br>2009/10    |
| Establish a framework and indicators to monitor performance of fisheries by   | 30 June 2009                |
| Agreements or processes in place to begin collection of data by   | July 2009                   |
| Review the Fisheries Act <ul style="list-style-type: none"><li>Review completed by</li><li>Advice on reforms provided to Minister by</li></ul>  | March 2009<br>30 June 2009  |

## Cost

|  | 2007/08<br>SOI | 2008/09<br>Plan | Change |
|--|----------------|-----------------|--------|
| Plan                                     | 4,201          | 3,612           | 589    |
| Amount to be recovered from industry     | 0              | 0               | 0      |
| Percentage to be recovered from industry | 0              | 0               | -      |

## *International fisheries*

The Ministry's International team provides the following services in order to further New Zealand's fisheries policy objectives and interests internationally:

### Policy advice

This is provided to the Minister on a broad range of international fisheries issues including the development of international principles and standards to promote the sustainable use of fisheries resources, New Zealand's negotiating position in regional fisheries management organisations, and the advancement of New Zealand's fisheries interests multilaterally and bilaterally including trade policy.

### Representing New Zealand

The International team actively engages in multilateral forums such as the United Nations, the FAO, the WTO and the OECD to negotiate improved principles and standards to promote the sustainable use of fisheries resources. It plays a lead role in negotiations in regional fisheries management organisations to ensure sustainable use and secure access for the New Zealand fishing sector and represents New Zealand in bilateral fisheries discussions and negotiations.

### Capacity development with Pacific Island countries

The International team leads and coordinates the Ministry's input into capacity building work with Pacific Islands countries, including work with the Pacific Islands Forum Fisheries Agency (FFA).

### **Capacity**

In 2008/09, an estimated 15,700 hours of Ministry resource will be used to produce the services listed above.

### **Performance indicators**

| <b>Issue</b>   | <b>Expected achievement</b> |
|--|-----------------------------|
| Work programme is agreed between the Minister of Fisheries and the Chief Executive of the Ministry and amended during the year as required   | As agreed with the Minister |
| Minister's expression of satisfaction with the quality of the reports and achievement of deadlines – a satisfaction rating of at least   | 90%                         |
| Advice papers for international meetings provided to the Minister in advance of the meetings by at least   | 2 weeks                     |
| Feedback on the Ministry's capacity building initiatives with Pacific Island countries will be sought, both from the countries themselves and from NZAID, on the extent to which the Ministry's capacity building work is achieving positive results and contributing to desired outcomes – with a satisfaction rating of at least | 90%                         |

### **Cost**

|  | <b>2007/08</b> | <b>2008/09</b> | <b>Change</b> |
|--|----------------|----------------|---------------|
|  | <b>SOI</b>     | <b>Plan</b>    |               |
| Plan                                     | 2,701          | 2,926          | (225)         |
| Amount to be recovered from industry     | 0              | 0              | 0             |
| Percentage to be recovered from industry | 0              | 0              | -             |

## MINISTERIAL SERVICES

This service includes the drafting of reports and advice to Ministers and to Select and Cabinet Committees, replies to ministerial correspondence and responses to Parliamentary questions.

All government departments are required to provide services to the portfolio Minister(s) to enable them to carry out their statutory functions.

### Capacity

The Ministerial Services team comprises two staff. The Ministry also provides for the secondment of one Private Secretary (Fisheries) to the Minister of Fisheries.

In 2008/09, an estimated 11,100 hours of total Ministry resource will be used to produce the services listed above.

### Performance indicators

| <b>Ministerial correspondence</b>  | <b>Expected achievement</b> |
|--|-----------------------------|
| Drafts provided to the Minister that are: <ul style="list-style-type: none"> <li>• Error free</li> <li>• Within 15 working days of receipt unless urgent</li> <li>• Urgent replies completed error free and within 5 days</li> </ul> | 100%<br>95%<br>100%         |
| <b>Parliamentary questions</b>   |                             |
| Draft responses provided for the Minister's signature: <ul style="list-style-type: none"> <li>• Error free</li> <li>• Delivered on time</li> </ul>   | 100%<br>100%                |
| <b>Select and Cabinet Committees</b>   |                             |
| Advice delivered to Select and Cabinet Committees: <ul style="list-style-type: none"> <li>• Within prescribed requirements</li> <li>• Error free</li> <li>• On time</li> </ul>   | 100%<br>100%<br>100%        |

### Cost

|  | <b>2007/08</b> | <b>2008/09</b> | <b>Change</b> |
|--|----------------|----------------|---------------|
|  | <b>SOI</b>     | <b>Plan</b>    |               |
| Plan                                     | 1,064          | 861            | 203           |
| Amount to be recovered from industry     | 0              | 0              | 0             |
| Percentage to be recovered from industry | 0              | 0              | -             |

## **DEPARTMENTAL OUTPUT EXPENSE – FISHERIES INFORMATION**

### **Description**

This departmental output expense covers research that is largely science based and other activities relating to gathering and analysing data about New Zealand's fisheries to support decisions about sustainable utilisation, as well as the provision of information on the biodiversity of New Zealand's marine environment. Research costs represent existing projects that have been consulted on in past years, and proposed new projects.

### **Scope of appropriation**

Services to provide for scientific research (primarily stock assessment and environmental research) about New Zealand's fisheries resources, and observer activities on commercial fishing vessels.

## **RESEARCH INFORMATION**

### *Fisheries science*

As an input into current and future fisheries management decisions, fisheries research needs are identified, projects undertaken and results reported to provide scientific information on:

- estimates of biomass and sustainable yields for fish stocks
- effects of fishing on the aquatic environment, including biodiversity and by-catch species
- relevant social, cultural and economic factors that may be included in the management decision process
- non-commercial harvest levels.

Research projects are classified into two categories in order to more fully utilise the research appropriation. Tier 1 projects are those that can be accommodated within the approved funding levels. Tier 2 projects will only be undertaken where Tier 1 projects are withdrawn/cancelled, and after consultation on the cost recovery levies. Recovery of costs through levies will only be applied to those projects that are actually undertaken.

The Ministry has a number of research programmes in place to generate information to support the New Zealand Biodiversity Strategy and the environmental principles set out in the Fisheries Act 1996. These programmes aim to improve our ability to:

- protect the richness and health of the marine biodiversity of New Zealand and the Ross Sea region
- ascertain the role of different organisms and habitats in maintaining the health and sustainability of our aquatic environment

- contribute to required information to set environmental standards.

## Capacity

In 2008/09, an estimated 36,500 hours of Ministry resource will be used to undertake the research work and ensure that the Ministry's scientific data is collected, processed, archived and made available to support management decisions.

## Performance indicators

|   | <b>Expected achievement</b> |
|---|-----------------------------|
| Medium-term research plans updated for all fisheries groups, the aquatic environment, and biodiversity, in accordance with specified quality standards, in appropriate time frames to inform research and management activities for which they are required | 100%                        |
| Number of plans involved  | 12                          |
| Research projects developed for fisheries and the aquatic environment for 2009/10 and incorporated into the draft annual Fisheries Research Services plan in accordance with specified quality standards  | Plan accepted by Minister   |
| Planned and requested reviews of stock status completed and incorporated into the mid-year and main Fishery Assessment Plenary in accordance with specified quality standards   | 100%                        |
| Research programme funding managed <ul style="list-style-type: none"> <li>• percentage of programme completed during the year (including prior year carry forward funding)</li> <li>• carry forward for future commitments</li> </ul>                       | >98%<br><\$3 million        |
| Tier 1 research projects tendered, evaluated and contracts awarded  | 100%                        |
| Completed research projects for which objectives were fully achieved  | >95%                        |
| Final research reports submitted by research providers for which review and quality assurance is provided in a timely fashion   | >90%                        |
| Number of the external reviews of research programmes, stock assessment models or survey methodology completed, and recommendations accepted and or implemented   | 3                           |

## Cost

|   | <b>2007/08</b> | <b>2008/09</b> | <b>Change</b> |
|---|----------------|----------------|---------------|
|   | <b>SOI</b>     | <b>Plan</b>    |               |
| Plan  | 25,913         | 25,277         | 636           |
| Amount to be recovered from industry through levies | 15,174         | 15,263         | (89)          |
| Percentage to be recovered from industry            | 59             | 60             | -             |

## Observers

Observers provide an independent source of high quality scientific and other information from commercial fishing operations. This information is a valuable input into the management of New Zealand's fisheries resources, particularly the setting of sustainability levels and the monitoring of the environmental impact of fishing activities. Observers are also used by external agencies (e.g. the Department of Conservation (DoC)), to gather the specific fisheries related information required to meet their organisational obligations.

There is a significant differential in the respective costs of delivering inshore coverage days for DoC and delivering other coverage days. This is primarily due to a greater relative number of shore days (i.e. down time) required to achieve inshore coverage days. This reflects a number of factors including the shorter duration of inshore trips, the greater impact of weather on inshore fishing activity, the inherently greater variability in inshore fleet activity and difficulties experienced in obtaining observer placements in some fisheries.

## Capacity

To ensure sufficient observer capacity to facilitate delivery of the observer coverage plan a pool of approximately 55 observers is required. They are employed on fixed term contracts the timing and duration of which correspond to coverage requirements.

## Performance indicators

|   | <b>Expected achievement</b> |
|---|-----------------------------|
| Average daily cost for Observer coverage provided to DoC for inshore fisheries                              | \$825 +/- 10%               |
| Average daily cost for Observer coverage for other fisheries  | \$555 +/- 10%               |
| Weighted average cost for Observer coverage   | \$598 +/- 10%               |
| Total number of observer coverage days delivered  | 8,085 +/- 10%               |
| Number of Ministry required days delivered  | 5,111 +/- 10%               |
| Observer coverage increase in each of the next three years by   | 2,000 days per year         |
| Observer coverage of bottom trawl fisheries in the South Pacific Regional Fisheries Management Area will be | 100%                        |

## Cost

|   | <b>2007/08</b> | <b>2008/09</b> | <b>Change</b> |
|---|----------------|----------------|---------------|
|   | <b>SOI</b>     | <b>Plan</b>    |               |
| Plan  | 4,013          | 5,734          | (1,721)       |
| Amount to be recovered from industry through levies | 2,644          | 3,836          | 1,192         |
| Amount to be recovered through transaction charges  | 1,369          | 1,898          | 529           |
| Percentage to be recovered (from industry and DoC)  | 100            | 100            | -             |

## **DEPARTMENTAL OUTPUT EXPENSE – FISHERIES OPERATIONS**

### **Description**

This output expense is designed to provide services that relate to:

- The development of standards, guidelines, regulations, fisheries plans and processes that make fisheries policy operational.
- Delivering on obligations arising from the Fisheries Deed of Settlement and the Maori Aquaculture Claims Settlement Act 2004.
- Monitoring the delivery of contracted and devolved registry services.
- Contributing to the marine biosecurity strategy.
- Providing advice on fisheries related impacts of biosecurity decisions
- Contributing to the New Zealand Biodiversity Strategy.

### **Scope of appropriation**

Services to provide advice to the Minister on appropriate standards defining acceptable impacts of fishing, appropriate allocation of rights to utilise fisheries and services to assist tangata whenua to better contribute to the sustainable management of New Zealand's fisheries.

## **SUSTAINABILITY AND MANAGEMENT CONTROLS**

This output provides for fisheries management advice on sustainability and management controls through:

- Implementation of objectives-based fisheries management by developing fisheries plans and standards
- Implementation of the Government's Marine Protected Areas Strategy
- Concurrence advice on marine reserve applications and advice on other spatial applications
- Advice on proposed aquaculture management areas
- Implementation of regulatory amendments and sustainability measures
- Implementation of the Government's aquaculture reforms
- Input into marine biosecurity strategy, planning on the fishing-related impacts of biosecurity decisions
- Advice on measures to mitigate the impacts of fishing on the environment
- Advice and support to international fisheries forums.

### **Capacity**

In 2008/09, an estimated 125,800 hours of Ministry resource will be used to produce the services listed above.

## Performance indicators

|   | Expected achievement  |
|---|---|
| Advice papers provided to the Minister.   | 250-350   |
| Minister is satisfied with the quality of advice received, measured by Ministerial feedback   | 95%   |
| Fish plans development: <ul style="list-style-type: none"> <li>• Number with agreed objectives and management strategies</li> <li>• Further inshore plans initiated</li> <li>• Progress Middle depth and deepwater plans and highly migratory fish plan</li> </ul>  | 5 by 30 June 2009<br><br>5 by 30 June 2009<br>By 30 June 2009   |
| Consistency with fisheries standards  | All plans reviewed  |
| Fisheries standards: - Standards approved (harvest strategy, benthic impacts, seabirds, Hector's/Mauī dolphins, and sealions)   | 5   |
| Lead government response to Aquaculture strategy<br><br>Work with stakeholders to identify and progress priority opportunities for aquaculture development<br><br>Foster public confidence in aquaculture<br><br>Facilitate development of the industry strategic research plan<br><br>Complete outstanding permit applications<br><br>Reform statutory framework for aquaculture | Maintain governance structures to progress response<br><br>Priorities identified and initiatives progressed in accordance with priorities<br><br>Implement Communications Plan<br><br>Research plan developed<br><br>All residual applications under Fisheries Act 1983 and transitional provisions completed (subject to applicants providing required information)<br><br>Reforms progress, in accordance with Cabinet directions |
| Certification of wild fisheries and aquaculture <ul style="list-style-type: none"> <li>• Independent assessment of NZ fisheries management regime</li> <li>• Information to support certification proposals</li> <li>• Contestable fund</li> </ul> Develop standards for sustainable aquaculture  | Completed by 30 June 2009<br><br>Provided as and when required<br><br>Established and operating<br>Advice on standards provided   |

## Cost

|  | 2007/08<br>SOI | 2008/09<br>Plan | Change  |
|--|----------------|-----------------|---------|
| Plan                                     | 12,679         | 15,376          | (2,697) |
| Amount to be recovered from industry     | 0              | 0               | 0       |
| Percentage to be recovered from industry | 0              | 0               | -       |

## DEED OF SETTLEMENT

The Ministry provides a range of services to assist tangata whenua to better contribute to the sustainable management of New Zealand fisheries. These include:

- building and maintaining relationships, and assisting them to engage in fisheries management processes and the development of fisheries plans.
- the effective use of the Ministry's Pou Hononga in notifying and appointing Tangata Tiaki and Tangata Kaitiaki to manage customary fishing
- developing capacity within tangata whenua to manage customary access and, by the effective use of the Ministry's Pou Takawaenga, to provide assistance with the use of customary management tools.

### Capacity

In 2008/09, an estimated 44,000 hours of Ministry resource will be used to produce the services to be provided for the operation of the Deed of Settlement.

### Performance indicators

|  | <b>Expected achievement</b>                            |
|--|--|
| Input and participation of tangata whenua into fisheries management processes by engaging with hapu and iwi through the creation and operation of Regional Iwi Forums.   | Increase number of forums from 11 to 13                |
| Managing the processes associated with the appointment of: <ul style="list-style-type: none"> <li>• Tangata Kaitiaki confirmed by the Minister of Fisheries under the Fisheries (Kaimoana Customary Fishing) Regulations 1998 - extend coverage in the north island and the Chatham Islands from</li> <li>• Tangata Tiaki confirmed by the Minister of Fisheries under the Fisheries (South Island Customary Fishing) Regulations 1999 – extend coverage from</li> </ul> | 30% to 45% by June 2009<br><br>90% to 95% by June 2009 |
| Provision of quarterly catch landing reports by Tangata Kaitiaki and Tangata Tiaki <ul style="list-style-type: none"> <li>• The number of reports will be increased by not less than</li> </ul>  | 25%  |

### Cost

|  | <b>2007/08<br/>SOI</b> | <b>2008/09<br/>Plan</b> | <b>Change</b> |
|--|------------------------|-------------------------|---------------|
| Plan                                     | 5,859                  | 5,494                   | 365           |
| Amount to be recovered from industry     | 0                      | 0                       | 0             |
| Percentage to be recovered from industry | 0                      | 0                       | -             |

## REGISTRY SERVICES AND PERMITS

Accurate and timely registry information (including permit holder register, vessel registers, quota and ACE ownership, and catch data) is collected under contract or by a devolved agency to support sustainability and utilisation decisions within each fishery. The Ministry is required to ensure contracted or devolved registry services are delivered in a manner consistent with the standards and specifications for those services. These services also include the administration and registry services aspects of the introduction of new species into the Quota Management System.

Other services include

- The issue of
  - freshwater farm licences
  - fish transfer authorisations
  - special permits
  - high seas permits
- Decisions on requests for aquaculture permits under the Fisheries Act 1983.

### Capacity

In 2008/09, an estimated 12,400 hours of Ministry resource will be used to produce the services listed above.

### Performance indicators

| <b>Approving applications made under Fisheries laws</b>   | <b>Expected achievement</b>   |
|---|---|
| Applications for: <ul style="list-style-type: none"> <li>- Licences under the Freshwater Fish Farming Regulations 1983,</li> <li>- Fish farm registration under the Fisheries Act 1996</li> <li>- Special permits under the Fisheries Act 1996</li> </ul> | 95% determined within 6 months of application                       |
| <b>Monitoring of contracted and devolved services</b>   |   |
| Services delivered are materially error free and delivered in a timely manner   | Service are monitored against Ministry standards and specifications |
| All significant errors are corrected  | 100%  |
| <b>Quota allocation</b>   |   |
| Quota is allocated for new species coming into the quota management system  | Within the required timeframes                                      |

### Cost

|  | <b>2007/08<br/>SOI</b> | <b>2008/09<br/>Plan</b> | <b>Change</b> |
|--|------------------------|-------------------------|---------------|
| Plan   | 5,075                  | 5,078                   | (3)           |
| Amount to be recovered from industry               | 4,895                  | 4,898                   | 3             |
| Amount to be recovered through transaction charges | 180                    | 180                     | 0             |
| Percentage to be recovered from industry           | 100                    | 100                     | -             |

## DEPARTMENTAL OUTPUT EXPENSE – FISHERIES COMPLIANCE

### Description

Fisheries Compliance will support the sustainable utilisation of New Zealand fisheries and protection of the aquatic environment through two core strategies designed to achieve optimal levels of compliance with the fisheries laws:

#### Promoting high levels of voluntary compliance with fisheries laws

This relies on a combination of Ministry initiatives aimed at obtaining stakeholder and public understanding and support for fisheries laws.

#### Creating an effective deterrent against illegal activity

This involves detecting illegal activity and implementing an enforcement response that will create a deterrent to both current and potential offenders.

### Scope of appropriation

Services to promote high levels of voluntary compliance with fisheries laws and create effective deterrence against illegal fisheries activity.

## COMMERCIAL FISHERIES COMPLIANCE

Services will be delivered to monitor, inspect and investigate commercial fishers, to support the integrity of the Quota Management System and the application of international fisheries rules and agreements.

### Performance indicators

| <b>IMPROVING LEVELS OF VOLUNTARY COMPLIANCE</b>               | <b>Expected achievement</b> |
|---|-----------------------------|
| <b>Vessel inspections (at port, at sea, landings)</b>         |                             |
| Number of inspections   | 1500                        |
| Percentage where breaches found                               | <= 20%                      |
| Act or regulation breaches followed up in inspection          | 100%                        |
| <b>Licensed Fish Receiver inspections</b>                     |                             |
| Number of inspections   | 200                         |
| Percentage where breaches found                               | <= 15%                      |
| Act or regulation breaches followed up in inspection          | 100%                        |
| <b>Monthly harvest returns</b>                                |                             |
| Percentage of returns not received within due date            | <= 10%                      |
| Late returns followed up within 14 days of due date           | 100%                        |
| Unfurnished returns followed up within two months of due date | 100%                        |

| <b>CREATING AN EFFECTIVE DETERRENT</b>  | <b>Expected achievement</b> |
|---|-----------------------------|
| <b>Investigations</b>   |                             |
| Commercial investigations commenced   | 11                          |
| International investigations commenced  | 2                           |
| Number of investigations finalised (where a decision to prosecute or otherwise has made)                              | 10                          |
| Investigations undertaken categorised as high and medium risk against the Ministry's 'Risk Based Compliance Strategy' | 75%                         |

### Capacity

In 2008/09, an estimated 103,400 hours of Ministry resource will be used to produce the services in the commercial fisheries compliance area.

### Cost

|  | <b>2007/08<br/>SOI</b> | <b>2008/09<br/>Plan</b> | <b>Change</b> |
|--|------------------------|-------------------------|---------------|
| Commercial fisheries compliance                                  | 9,582                  | 10,835                  | (1,253)       |
| Components   |                        |                         |               |
| Commercial fisheries compliance within EEZ                       | 8,843                  | 9,965                   | (1,122)       |
| New Zealand's international fisheries compliance                 | 739                    | 870                     | (131)         |
| Amount to be recovered from industry                             | 8,843                  | 9,965                   | 1,122         |
| Percentage to be recovered from industry (commercial compliance) | 100                    | 100                     | -             |

### OTHER FISHERIES COMPLIANCE

Services will be delivered to:

- educate, monitor and inspect recreational and customary fishers.
- detect and prosecute key offenders and disrupt the supply of poached or black-market seafood through effective monitoring, inspection and investigations

### Performance indicators

| <b>IMPROVING LEVELS OF VOLUNTARY COMPLIANCE</b>               | <b>Expected achievement</b> |
|---|-----------------------------|
| <b>Inspections (vessels, vehicles and persons)</b>            |                             |
| Number of inspections   | 15,000                      |
| Levels of compliance with Act and regulations                 | ≥90%                        |
| Act or regulation breaches followed up in inspection          | 100%                        |
|   |                             |
| Kaitiaki trained and / or further developed                   | 100                         |
| Planned stakeholder and public education activities delivered | ≥90%                        |
| Honorary Fishery Officer volunteers trained and warranted     | 160                         |
|   |                             |
| <b>Dealer in fish (DIF) inspections</b>                       |                             |
| Number of inspections   | 1,000                       |

| <b>CREATING AN EFFECTIVE DETERRENT</b>  | <b>Expected achievement</b> |
|---|-----------------------------|
| Number of poaching and black-market patrols to be undertaken  | 400-500                     |
| <b>Investigations</b>   |                             |
| Number of investigations commenced  | 10                          |
| Number of investigations finalised (where a decision to prosecute or otherwise has made)                  | 8                           |
| Investigation undertaken categorised as high and medium risk against the 'Risk Based Compliance Strategy' | 75%                         |

### Capacity

In 2008/09, an estimated 133,500 hours of Ministry resource will be used to deliver these services.

### Cost

|  | <b>2007/08<br/>SOI</b> | <b>2008/09<br/>Plan</b> | <b>Change</b> |
|--|------------------------|-------------------------|---------------|
| Other fisheries compliance                                       | 16,237                 | 14,697                  | 1,540         |
| Components   |                        |                         |               |
| Non-commercial fisheries compliance                              | 5,731                  | 6,054                   | (323)         |
| Poaching and black market activities deterred                    | <u>10,506</u>          | <u>8,643</u>            | <u>1,863</u>  |
|  | 16,237                 | 14,697                  | 1,540         |
| Amount to be recovered from industry                             | 0                      | 0                       | -             |
| Percentage to be recovered from industry (commercial compliance) | 0                      | 0                       | -             |

### PROSECUTIONS

The focus of these services is on successfully prosecuting serious fisheries offending and achieving deterrent penalties.

### Performance indicators

| <b>Prosecutions by the Ministry</b>  | <b>Expected achievement</b> |
|--|-----------------------------|
| <b>Consistency with Crown Law Office guidelines and compliance policy statements</b> |                             |
| Percentage of prosecution decisions consistent with guidelines                       | 100%                        |
| <b>Prosecutions under the Fisheries Act 1996</b>                                     |                             |
| Percentage of prosecutions that are successful                                       | ≥ 90%                       |
| <b>Prosecutions under Fisheries regulations</b>                                      |                             |
| Percentage of prosecutions that are successful                                       | ≥ 75%                       |
| <b>Prosecutions initiated within statutory timeframes</b>                            |                             |
| Percentage of prosecutions initiated within statutory timeframes                     | 100%                        |

### Capacity

In 2008/09, an estimated 25,400 hours of Ministry resource will be used to deliver the services.

**Cost**

|  | <b>2007/08</b> | <b>2008/09</b> | <b>Change</b> |
|--|----------------|----------------|---------------|
|  | <b>SOI</b>     | <b>Plan</b>    |               |
| Prosecutions   | 3,816          | 4,589          | (773)         |
| Amount to be recovered from industry                             | 0              | 0              | -             |
| Percentage to be recovered from industry (commercial compliance) | 0              | 0              | -             |

## DEPARTMENTAL OUTPUT EXPENSE - AQUACULTURE SETTLEMENT

### Description

This output provides for the implementation of the provisions of the Maori Commercial Aquaculture Claims Settlement Act 2004.

### Scope of appropriation

Services to implement the Maori Commercial Aquaculture Claims Settlement Act 2004, which provides for a settlement of Maori interests in commercial aquaculture, including the provision of 20% of new space in aquaculture management areas and the provision of 20% equivalent of existing space allocated since September 1992.

### Capacity

In 2008/09, an estimated 2,700 hours of Ministry resource will be used to produce the services to be provided under the aquaculture settlement process.

### Performance indicators

| Services necessary to implement the provisions of the Maori Commercial Aquaculture Claims Settlement Act 2004  | Expected achievement                                  |
|--|---|
| The register is maintained in an accurate and up to date manner  | As confirmed by external audit                        |
| All statutory obligations are being met, including:<br>- section 22: the Crown's obligations<br>- section 23: development of the implementation plan | All legislative obligations are met<br>Plan completed |
| The Trustee is delivering on all its obligations both in terms of funding and performance of the statutory role                                      | As determined by quarterly and annual reports         |

### Cost

|  | 2007/08<br>SOI | 2008/09<br>Plan | Change |
|--|----------------|-----------------|--------|
| Plan                                     | 1,812          | 1,543           | 269    |
| Amount to be recovered from industry     | 0              | 0               | 0      |
| Percentage to be recovered from industry | 0              | 0               | -      |

## **DEPARTMENTAL OUTPUT EXPENSE – SOUTH PACIFIC REGIONAL FISHERIES MANAGEMENT ORGANISATION**

### **Description**

In Budget 2007, the government agreed to provide funding necessary to host the interim secretariat of the South Pacific Regional Fisheries Management Organisation (SPRFMO) in New Zealand. The purpose of SPRFMO is to manage non-tuna fisheries in high seas parts of the South Pacific Ocean. The function of the interim secretariat is to provide the necessary administrative support for effective implementation of interim conservation and management measures established prior to a formal Agreement on the SPRFMO coming into force.

### **Scope of appropriation**

Administrative support for the interim secretariat of the South Pacific Regional Fisheries Management Organisation.

### **Capacity**

In 2008/09, an estimated 5,000 hours of resource will be used to produce the services to be provided to the interim secretariat.

### **Performance indicators**

| <b>Administrative support for the interim secretariat of the South Pacific Regional Fisheries Management Organisation</b> | <b>Expected achievement</b> |
|---|-----------------------------|
| Collection and management of data received from member states   | 100%                        |

### **Cost**

|  | <b>2007/08<br/>SOI</b> | <b>2008/09<br/>Plan</b> | <b>Change</b> |
|--|------------------------|-------------------------|---------------|
| Plan                                     | 0                      | 406                     | (406)         |
| Amount to be recovered from industry     | 0                      | 0                       | 0             |
| Percentage to be recovered from industry | 0                      | 0                       | -             |

## **Policy advice quality standards**

The standards used to assess the quality of policy advice provided to the Minister are.

### *Purpose*

The aim or purpose is clearly stated and it answers the questions set.

### *Logic*

The assumptions behind the advice are explicit, and the argument logical and supported by facts.

### *Accuracy*

The facts are accurate and all material facts are included.

### *Options*

An adequate range of options has been presented and the benefits, costs and consequences of each option are clearly outlined.

### *Consultation*

There is evidence of adequate consultation with interested parties and possible objections to proposals/content are identified.

### *Practicality*

The problems of implementation, technical feasibility, timing or consistency with other policies/reports have been considered.

### *Presentation*

The format meets the stipulated requirements; the material is effectively, concisely and clearly presented, has short sentences in plain English and is free of grammatical or spelling errors.

### *Quality management*

The product quality will be supported by a process that provides for:

- circulation of drafts for critiquing by government agencies and affected stakeholders
- internal peer review and checking procedures
- adherence to the Ministry's policy framework
- consistency with relevant legislative obligations
- specific requirements set by the Minister.

These standards are to be applied in all areas where the Ministry provides advice to decision-makers.